



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2126

In re application of: **Peloquin et al.**

Serial No.: **09/734,811**

Filed: **December 11, 2000**

For: **Method and an Apparatus to  
Extend the Logic Volume Manager  
Model to Allow Device Management  
Plug-Ins**

**35525**

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

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Group Art Unit: **2126**

Examiner: **Truong, Lechi**

Attorney Docket No.: **AUS9-2000-0509-US1**

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

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By:

*Kit Cruz-Verona*  
Kit Cruz-Verona

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APR 21 2004

Technology Center 2100

**TRANSMITTAL DOCUMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:  
ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AB; and
- Our return postcard.

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APR 23 2004

**TC 1700**

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

*Duke W. Yee*

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TC 1700

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97**

Commissioner for Patents  
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APR 21 2004

Technology Center 2100

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0392.

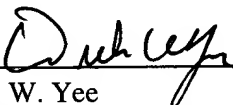
In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical

background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement. No fee is required.

Respectfully submitted,

Date: April 15, 2004

  
\_\_\_\_\_  
Duke W. Yee  
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Form PTO-1449

LIST OF PRIOR ART CITED  
BY APPLICANT

(Use several sheets if necessary)

ATTORNEY DOCKET NO.  
**AUS9-2000-0509-US1**SERIAL NO.  
**09/734,811****RECEIVED****APR 21 2004**APPLICANT **Peloquin et al.****Technology Center 2100**FILING DATE **December 11, 2000**GROUP ART UNIT **2126**

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	<b>AA 5,968,184</b>	<b>Oct. 19, 1999</b>	<b>Kedem</b>	<b>714/7</b>	<b>Jun. 3, 1997</b>
	<b>AB 6,523,047 B1</b>	<b>Feb. 18, 2003</b>	<b>Rafanello et al.</b>	<b>707/200</b>	<b>Aug. 17, 2000</b>

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

## OTHER PRIOR ART (including author, title, date, pertinent page, etc.)


## RELATED PATENT APPLICATIONS

EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE

DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.